FUTURITY

Change of Financial Adviser and Adviser Representative Form

This form is to be used if you wish to appoint or remove a Financial Adviser on your bond. If you have a Financial Adviser acting on your behalf, they (or their dealer group) will receive access to the online portal where they can see details about you and your bond.

Section 1 – Bond Owner details

Client number(s):	CL5	Bond number(s):	
	CL5		
Bond Owner(s):			
Email:			
Phone:			

Section 2 – Appointment or removal of Financial Adviser (Please tick which instruction applies)

Appointment of a new Financial Adviser

☐ I/We would like to appoint the Financial Adviser named below on my/our bond. ▶ **Please complete Sections 3, 4 and 5.**

Appoint my/our current Financial Adviser to be an Adviser Representative

☐ I/We would like to appoint the nominated Financial Adviser currently on my/our bond to be an Adviser Representative. Please refer to the Product Disclosure Statement (PDS) for Adviser Representative terms and conditions. ▶ **Please complete Sections 3, 4 and 5.**

Removal of Financial Adviser

☐ I/We would like to remove the Financial Adviser currently on my/our bond and cease all future related fees and Adviser Representative authority (if applicable). ▶ **Please complete Section 5.**

Section 3 – Financial Adviser's details

Financial Adviser's name:

Financial Adviser's ASIC number:

Dealer group name:

Dealer group AFSL:

Name of financial advisory firm: (if applicable)

Email of Financial Adviser firm: (for general and client correspondence)

Email of Financial Adviser: (for access to the Adviser Portal)

Postal address:

Financial Adviser's phone:

Business phone:

Adviser Representative

Would you like to appoint your Financial Adviser as an Adviser Representative?

Please refer to the PDS for Adviser Representative terms and conditions.

- ☐ **Yes** (default) – I have read the PDS and agree to the terms and conditions associated with appointing an Adviser Representative. The Financial Adviser nominated in this section is authorised as the Adviser Representative until I instruct otherwise.
- ☐ **No**

You can appoint or remove your Financial Adviser as an Adviser Representative by providing written instructions to us at any time.

Section 4 – Financial Adviser's remuneration

If an agreement has been made between you and the Financial Adviser mentioned above for ongoing Adviser Service Fees, you can instruct Futurity Investment Group Limited (Futurity) to deduct this fee from your bond by providing us with a completed and signed Adviser Fee Instruction and Consent Form.

Section 5 –Declaration and signature

Bond Owner(s) declaration and signature

I/We confirm that, to the best of my/our knowledge, the information provided on this form is true and correct.

I/We authorise Futurity Investment Group Limited to act on and process the instructions set out in this form.

I/We confirm that I/we have obtained a copy of the current combined Financial Services Guide and Product Disclosure Statement, have read and understood it and agree to be bound by the terms and conditions contained within the document.

Bond Owner / Director / Trustee 1

Signature:

Print name:

Capacity:

Date: / /

Bond Owner / Director / Trustee 2

Signature:

Print name:

Capacity:

Date: / /

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Bond Owner / Director / Trustee 3

Signature:

Print name:

Capacity:

Date: / /

Financial Adviser declaration and signature

(Financial Adviser signature is only required when appointing a new Financial Adviser)

I/We or dealer group (as applicable):

- confirm that I/we hold an Australian Financial Services Licence (AFSL) or are authorised through a holder of a current AFSL;
- confirm that I/we have provided the Bond Owner with all the necessary information concerning their chosen investment, including the PDS (and any Supplementary PDS);
- have fully disclosed all fees and costs associated with investing in the bond;
- confirm that I/we will report to Futurity within 10 Melbourne Business Days of the end of each calendar quarter on any complaints in relation to the product's design, features and distribution, feedback related to the product and its performance, as well as any dealings outside the Target Market Determination (TMD) and whether such dealings were as a consequence of personal advice provided by me/us; and
- confirm that I/we will report to Futurity significant dealings in the product that are not consistent with the TMD as soon as practicable and, in any case, within 10 Melbourne Business Days of becoming aware of the significant dealings.

Financial Adviser signature:

Print name:

Title/position:

Date: / /

To submit your completed form, please log into your online portal and use the document upload function to securely share this document with us.

Futurity Investment Group Limited

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