FUTURITY

Adviser Fee Instruction and Consent Form

If an agreement has been made between you and your Financial Adviser for payment of advice fees for agreed advice services provided by your Financial Adviser, you can instruct Futurity Investment Group Limited (Futurity) to deduct these fees from your bond(s). You can cancel or vary your fee arrangements at any time by notice in writing to us.

Please read the form carefully to ensure the details reflect the agreement with your Financial Adviser. Should your agreed adviser fee arrangements change, please notify Futurity in writing or by email as soon as possible.

Speak to your Financial Adviser if you have any questions regarding the adviser fee arrangements or contact us on 1300 345 456 or email advisercare@futurityinvest.com.au.

Section 1 – Bond Owner details

Client number(s):	CL5	Bond number(s):	
	CL5		
Bond Owner(s):			
Email:			
Phone:			

Section 2 – Financial Adviser details

Financial Adviser name:		Financial Adviser's ASIC number:	
Dealer group name:		Dealer group AFSL:	
Name of financial advisory firm: (if applicable)			
Email of Financial Adviser: (For access to the adviser portal)			
Postal address:			
Financial Adviser's phone:		Business phone:	

Section 3 – Adviser Service Fee

The amounts detailed in Sections 3A and 3B below, as applicable, are for Adviser Services Fees for personal advice services to be deducted from your bond and paid to your nominated Financial Adviser monthly in arrears. You consent to these fees being paid to your Financial Adviser and/or the Australian Financial Services Licensee (AFSL) for whom your Financial Adviser acts as an authorised representative. Adviser Service Fees paid to your Financial Adviser and/or their AFSL are inclusive of GST.

The relevant fee will be deducted proportionately across your Investment Option holdings.

Each payment will generally be deducted on or around the 10th of each month for the previous month.

You may vary or withdraw your Adviser Service Fee arrangement at any time by notifying Futurity or your Financial Adviser in writing. The fees will be stopped on the day we are notified, unless otherwise instructed.

Only Adviser Fee Instruction and Consent Forms signed within 30 days of the Start Date of the Adviser Service Fee will be processed.

Only one type of Adviser Service Fee arrangement referred to in Sections 3A – Fixed Term and 3B – Ongoing Consent can be applied to your bond, not both.

Please note that Futurity will not reimburse or indemnify you for Adviser Service Fees paid to your Financial Adviser where we have not been formally advised of the withdrawal of your Adviser Service Fee arrangement.

Section 3A – Fixed Term

Please note all Adviser Service Fees are applied per annum and inclusive of GST.

Amount per bond: $ _____ p.a. **OR** _____ % p.a.

Start Date: / /

End Date: / / (Within 12 months from the Start Date)

This Adviser Service Fee – Fixed Term arrangement ends automatically on the End Date unless you withdraw the arrangement before then and you must provide a new Adviser Service Fee – Fixed Term arrangement in order for these fees to be deducted from your bond.

The commencement of the calculation and deduction of Adviser Services Fees will be the later of the nominated Start Date or the date this request is processed.

Based on your bond's balance and/or the Adviser Service Fee charged, the estimated monthly Adviser Service Fee is: $

Section 3B – Ongoing Consent

Please note all Adviser Service fees are applied per annum and inclusive of GST.

Amount per bond: $ _____ p.a. **OR** _____ % p.a.

Reference Day: / /

The Reference Day sets the renewal period for your Adviser Service Fee – Ongoing Consent arrangement. It is 12 months after the date on which this Adviser Service Fee – Ongoing Consent arrangement is entered into, unless an earlier date is specified in this consent.

Consent End Date: / / (150 days after your Reference Day)

The Consent End Date is the date we will automatically stop deducting Adviser Service Fees from your account, unless you withdraw the consent before then, and no further advice will be provided to you.

You may provide your written consent to renew this Adviser Service Fee – Ongoing Consent arrangement at any time during the period commencing 60 days before the Reference Day and ending on the Consent End Date otherwise the agreement will terminate. This means that your Financial Adviser will have to ask you to sign a new Adviser Service Fee – Ongoing Consent arrangement if we are to recommence deducting Adviser Service Fees from your bond in return for ongoing advice services.

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Start Date (Optional): / /

The nominated Start Date cannot be later than or more than 12 months before the provided Reference Day.

The commencement of the calculation and deduction of Adviser Service Fees will be the latest of the nominated Start Date, the date this request is processed or 12 months before the provided Reference Day.

If a Start Date is not nominated, then the commencement of the calculation and deduction of Adviser Service Fees will be the later of the date this request is processed or 12 months before the provided Reference Day.

Based on your bond's balance and/or the Adviser Service Fee charged, the estimated monthly Adviser Service Fee is: $

Section 4 – Financial Adviser services to be provided under Fixed Term and Ongoing Consent Adviser Service Fee arrangements

The Financial Adviser shall provide the following ongoing advice services under this Financial Adviser remuneration arrangement:

- ☐ Initial advice and investment set up
- ☐ Implementation and support
- ☐ One-off review
- ☐ Ongoing support
- ☐ Ongoing review and management
- ☐ Strategic advice and investment adjustments
- ☐ Estate planning

Please specify any additional advice services.

Section 5 – One-Off Adviser Service Fee

Please note the One-Off Adviser Service Fee, inclusive of GST, will be applied where applicable on the date you have signed the declaration and will be paid on or around the 10th of the following month. It will be deducted proportionately across your Investment Option holdings.

Amount per bond: $

The Financial Adviser shall provide the following advice services under this One-Off Adviser Service Fee.

- ☐ Initial advice and strategy
- ☐ Investment establishment
- ☐ One-off review

Please specify any additional advice services.

Section 6 – Bond Owner declaration and signature

I/We:

- confirm that the information provided on this form is true and correct;
- authorise Futurity to process and act on the instructions set out in this form;
- confirm that I/we have obtained a copy of the current combined Financial Services Guide and Product Disclosure Statement for the bond, have read and understood it and agree to be bound by the terms and conditions contained within the document;
- confirm and agree that the Financial Adviser's Adviser Service Fee arrangement as set out in Sections 3, 3A, 3B, 4 and 5, where applicable, are the arrangements agreed between me/us and my/our Financial Adviser and hereby give to Futurity my/our Adviser Service Fee instructions under the Product Rules, and where Section 3B applies, consent to Futurity deducting, or arranging to deduct, the Adviser Service Fee specified in Section 3B from the bond number(s) identified in Section 1; and
- understand that the Financial Adviser's Adviser Service Fee arrangement as set out in Sections 3A and 3B, where applicable, can be withdrawn or varied at any time by providing instructions in writing to Futurity or my/our Financial Adviser.

Bond Owner / Director / Trustee 1		**Bond Owner / Director / Trustee 2**	
Signature:		Signature:	
Print name:		Print name:	
Capacity:		Capacity:	
Date:	/ /	Date:	/ /

Section 7 – Financial Adviser declaration and signature

I confirm that the Financial Adviser's Adviser Service Fee arrangement set out above reflects the agreement between me and the Bond Owner(s) and complies with applicable laws, ASIC requirements and my AFSL obligations.

I agree to be bound by the provisions relating to the Financial Adviser's Adviser Service Fees as set out in the 'Fees and other costs' section in PDS Part A and confirm that any agreed Adviser Service Fee has been fully disclosed and explained to the Bond Owner(s).

Financial Adviser signature:	
Print name:	
Position held:	
Date:	/ /

To submit your completed form, please log into your online portal and use the document upload function to securely share this document with us.

Futurity Investment Group Limited

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