



Bond Ownership Transfer Form

This form is for the transfer of bond ownership from one or more parties to others. If you wish to transfer the ownership of your bond to a company or trust, please contact Futurity Investment Group Limited (Futurity).

A transfer of bond ownership may have taxation consequences. Please seek independent professional advice for your specific personal circumstances if you are unsure or require advice.

Upon transfer of the bond, no further payments will be made to a Financial Adviser previously linked to the bond and their authorised functions will cease. The appointment of any Bond Estate Nominees, Future Activated Transfer recipients and Appointed Associates will also be cancelled and revoked upon transfer. Existing Savings Plan arrangements, auto-reweight facility and dollar cost averaging facility will also be removed upon transfer.

We are required to comply with Anti-Money Laundering and Counter-Terrorism Financing Laws including the need to establish the identity of persons associated with the investment. To support this, we require details of a current driver's licence or passport of relevant individuals. If you are unable to provide details of a driver's licence or passport of the transferee, please contact us on 1300 345 456 for a list of other eligible identification documents. We may, from time to time, require additional information to assist with this process.

Section 1 – Current Bond Owner details (Transferor)

Client number(s):	CL5	Bond number:	EB
	CL5		
Bond Owner(s):			
Email:			
Phone:			

Section 2 – New Bond Owner details (Transferee)

Transferee 1

Title: (please tick)	☐ Mr	☐ Mrs	☐ Ms	☐ Miss	☐ Other: (please specify)	
First name:				Middle name:		
Surname:						
Date of birth:	/ /					
Residential address: (not a PO Box)						
Occupation:						
Mobile:				Phone (H):		
Postal address:						
Same as residential:	☐					

Natural person transferee(s) will be registered as additional Life/Lives Insured to this bond as a default. If you do not want this transferee to be added as Life Insured*, please tick this box ☐.

Identification verification

Photo ID provided: (please tick) ☐ Driver's Licence **OR** ☐ Passport

Is Transferee 1 a Politically Exposed Person? (refer to the Product Disclosure Statement for the definition) (please tick): ☐ Yes ☐ No

Please provide your source of wealth:

We are required by law to understand how you have built your overall wealth over time.

- | | |
|--|---|
| ☐ Employment income | ☐ Inheritance |
| ☐ Business ownership or business income | ☐ Gift/Donation |
| ☐ Investment income or capital growth | ☐ Compensation or insurance payout |
| ☐ Sale of a business or major asset | ☐ Superannuation/Pension |

Other: (please specify)

Under the Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS) Laws, we are required to ask for additional tax residency information.

Are you a tax resident of Australia? ☐ Yes ☐ No

Are you a tax resident of a country other than Australia or a Specified U.S. Person? ☐ Yes ☐ No

If 'Yes' to the above question, you will need to complete a separate FATCA/CRS Form available on our website.

* Please note that a Life Insured cannot be removed.

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Transferee 2

Title: (please tick)

☐ Mr☐ Mrs☐ Ms☐ Miss☐ Other: (please specify)

First name:

Middle name:

Surname:

Date of birth:

/ /

Residential address:

(not a PO Box)

Occupation:

Mobile:

Phone (H):

Postal address:

Same as residential: ☐

Natural person transferee(s) will be registered as additional Life/Lives Insured to this bond as a default. If you do not want this transferee to be added as Life Insured*, please tick this box ☐.

Identification verification

Photo ID provided: (please tick)

☐ Driver's Licence

OR

☐ Passport

Is Transferee 2 a Politically Exposed Person? (refer to the Product Disclosure Statement for the definition) (please tick): ☐ Yes ☐ No

Please provide your source of wealth:

We are required by law to understand how you have built your overall wealth over time.

☐ Employment income☐ Inheritance☐ Business ownership or business income☐ Gift/Donation☐ Investment income or capital growth☐ Compensation or insurance payout☐ Sale of a business or major asset☐ Superannuation/Pension

Other: (please specify)

Under the Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS) Laws, we are required to ask for additional tax residency information.

Are you a tax resident of Australia?

☐ Yes☐ No

Are you a tax resident of a country other than Australia or a Specified U.S. Person?

☐ Yes☐ No

If 'Yes' to the above question, you will need to complete a separate FATCA/CRS Form available on our website.

► If there are more than two transferees, please contact us prior to submitting this form.

* Please note that a Life Insured cannot be removed.

Section 3 – Authority to give us instructions on your bond

Please elect which joint new Bond Owners/transferees will have authority to instruct us on the bond and bind the other Bond Owner(s) for transactions (including additional investments, switches and claims/withdrawals).

- | | |
|--|--|
| ☐ All Bond Owners (default) | ☐ Only Bond Owner 1 |
| ☐ Only Bond Owner 2 | ☐ Any Bond Owner |

Section 4 – Declaration and signature

I/We acknowledge there is a risk that the transfer of bond ownership will not become operative at law until I/we and the transferee(s) sign this Bond Ownership Transfer Form (Memorandum of Transfer) and it is registered by Futurity. I/we hereby transfer my/our rights and title interest in this bond to the transferee(s) listed above and further acknowledge that existing Life Insured(s) shall remain in place. However, no further payments will be made to my/our Financial Adviser and their authorised functions will cease. The appointment of any Bond Estate Nominees, Future Activated Transfer recipients and Appointed Associates will also be cancelled and revoked upon transfer. Existing Savings Plan arrangements, auto-reweight facility and dollar cost averaging facility will also be removed upon transfer.

Bond Owner (Transferor 1)

Signature:

Print name:

Date: / /

Witness

Witness signature:

Print name:

Date: / /

Bond Owner (Transferor 1)

Signature:

Print name:

Date: / /

Witness

Witness signature:

Print name:

Date: / /

I/We being the transferee(s), whose signature(s) appear below, request Futurity to register this Memorandum of Transfer and by completing and signing this form, I/we:

- undertake to perform and be bound by the Product Rules (as amended from time to time), the Product Disclosure Statement and the terms of this transfer;
- accept and agree to 'Your acknowledgements, declarations and additional terms and conditions' in the current Financial Services Guide and Product Disclosure Statement;
- agree to receive all communications (including the annual Bond Owner Statement, meeting notices and Futurity Annual Reports) by Electronic Means either via the investor portal, the Futurity website or otherwise by email to my email address, or in the case of joint Bond Owners, the email address of the Primary Member (note – you may update your preference at any time by contacting us).

Section 4 – Declaration and signature

I/We the transferee(s), whose signature(s) appear below, also confirm that the statements made in this Bond Ownership Transfer Form (Memorandum of Transfer) are true and correct.

New Bond Owner (Transferee 1)

Signature:

Print name:

Date:

☐ Please keep me informed with Futurity insights, market research and news about Futurity and our products (see below).

New Bond Owner (Transferee 2)

Signature:

Print name:

Date:

☐ Please keep me informed with Futurity insights, market research and news about Futurity and our products (see below).

Witness

Witness
signature:

Print name:

Date:

Witness

Witness
signature:

Print name:

Date:

Futurity insights, market research and product news

By ticking the box under your signature above, you are requesting to receive Futurity insights, market research and Futurity product news to your nominated email address. Your subscribe/unsubscribe preferences may be updated or changed at any time by contacting us.

Your details are securely maintained in accordance with our privacy policy summarised in the Product Disclosure Statement and available on our website.

To submit your completed form, please log into your portal and use the document upload function to securely share this document with us.

Futurity Investment Group Use Only

Date of registration:

Registration number:

Signed for
and on behalf
of Futurity:

Futurity Investment Group Limited

Level 15, 333 Collins Street
Melbourne VIC 3000
Phone: 1300 345 456

Email: investorcare@futurityinvest.com.au
Website: futurityinvest.com.au
ACN 087 648 879 | AFSL 236665



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